

Advising Guidelines

Selecting a Thesis Advisor

In the spring of the first year each student will select a formal thesis advisor. A student may declare two faculty to serve equally as co-advisers if the research project will benefit from the combined expertise of both faculty. If a student subsequently needs to switch to a new thesis adviser, the student should consult the Director of Graduate Studies far in advance of the change.

The Student and Advisor: Your Shared Responsibilities

- a. *Communication.* The student and adviser share responsibility for establishing and maintaining a respectful advising relationship. You should meet early in the relationship to agree on expectations for how often to meet, how frequently to communicate, and via which mechanisms (email, in person, text, Slack, etc.) to communicate.
- b. *Research.* You both also share responsibility for carrying forward the thesis project. Initially the adviser will take the lead in designating the research plan and designing experiments, and over time the student will take increasing responsibility for the project. You are both responsible for the design of rigorous and reproducible experiments and for ensuring that the research is conducted ethically. You will work in partnership to select faculty for this committee.

The Advisor: Your Responsibilities

- a. *Communication.* As noted above, communication is a key aspect of an advising relationship. It is important to maintain regular communication with your student and to alert your student to anticipated delays in responding.
- b. *Research.* You are responsible for overseeing the overall research plan for your student, for securing funding to conduct the research, and for providing the materials and equipment necessary to carry out experiments. Guide your student in troubleshooting technical problems and interpreting data, and provide regular feedback. Finally, expect to review and sign the annual Dissertation Progress Report each May, beginning in the student's 3rd year of study.
- c. *Research findings.* Make sure to detail your rules on authorship early in a student's tenure in your lab. Provide guidance on writing manuscripts, and review drafts in a timely manner. Additionally, provide opportunities for your student to present research at national or international meetings and to practice these presentations before you and your lab.
- d. *Career and professional development.* Yale offers many resources for students to develop their skills and prepare for careers both within and beyond academia. At the same time, the thesis adviser can profoundly influence a student's development and career trajectory. Meet with your student regularly to discuss career goals, assist with networking within the field, and provide opportunities for your student to develop mentoring, grant writing, and other skills off the bench. Encourage the use of the Office of Career Strategy resources if your student is interested in careers beyond the academy.
- e. *Time.* Share the norms for typical hours of operation in your lab, explain that some experiments may require deviating from these norms, and note whether you encourage in-person attendance vs. remote research activities. Plan to accommodate your student's academic responsibilities such as coursework, teaching, qualifying exam preparation, required seminar attendance, etc. Likewise, anticipate that your student will devote time to career and professional development activities outside the lab. Discuss any other obligations, such as family responsibilities or religious observances, that may limit your student's presence in the lab. Also discuss your concerns if your student is devoting too little (or too much) time to research activities.
- f. *Letters.* You are responsible for providing letters of support for your student. Discuss with your student your willingness to write letters of support for fellowship applications and job applications. Note how far in advance letters should be requested.
- g. *Health and well-being.* Although students are responsible for maintaining a healthy balance in graduate school, you should promote your student's health and well-being by creating a welcoming and positive

lab culture, placing limits on time in the lab, accommodating our graduate program's 2-week vacation policy (in addition to official Yale holidays), and taking note of your student's overall well-being.

- h. *Seeking help.* Please turn to the DGS, thesis committee, and/or GSAS Dean's office if you have concerns that you are unable to resolve directly with your student.

The Student: Your Responsibilities

- a. *Communication.* As noted above, communication is a key aspect of an advising relationship. You should maintain regular communication as well as alert your adviser when either personal or academic obligations may cause delays in communication or result in an unanticipated absence.
- b. *Research.* You are responsible for driving forward your thesis project, managing your research obligations amidst other academic responsibilities, completing your annual Dissertation Progress Report on time, and scheduling bi-annual thesis committee meetings. Be open to critical feedback from your adviser and thesis committee members. Ask questions if you do not understand the feedback they give you; follow up with a plan to address the issues they raise.
- c. *Research findings.* Disseminating research findings is a critical aspect of becoming a scientist. You should work with your adviser to prepare drafts of manuscripts, and seek opportunities to share research findings in departmental seminars and at national or international meetings. Because scientific writing and public speaking are challenging for both novices and experienced scientists alike, you may wish to take advantage of workshops and resources provided by the Poorvu Center and the Graduate Writing Lab to develop your writing and presentation skills.
- d. *Career and professional development.* Begin mapping out your career plans early in graduate school through an individual development plan, such as via <https://myidp.sciencecareers.org>. You should provide annual updates to your adviser and thesis committee about potential career plans and determine which professional skills you should develop in anticipation of your desired career path. Look for career and professional development opportunities through the Poorvu Center, Office of Career Strategy, and other Yale offices.
- e. *Time.* You should plan to conduct research according to the expected hours of operation of the lab, meet the academic deadlines of our graduate program, and notify your adviser in advance of academic time commitments and deadlines. A thesis project is a time-intensive, years-long endeavor, and you can anticipate working hard on your project. At the same time, as noted below, time away from the lab is critical for overall health, and you are encouraged to utilize our graduate program's 2-week vacation policy. If you are uncertain about what the lab expectations are, please ask your adviser to clarify them.
- f. *Letters.* Discuss with your adviser in advance your need for letters of support for fellowship applications and/or job applications. Follow your adviser's guidance on when to request these letters.
- g. *Health and well-being.* It is important for you to develop a healthful lifestyle. Taking time to eat a healthy diet, exercise, and get adequate rest does not detract from but rather improves academic performance and research productivity. Explore the health and wellness resources available at Yale, such as through [Yale Health](#), the [Payne Whitney Gym](#), [Yale Mental Health & Counseling](#), and the [Wellness Center](#). Plan at least one day off in every seven, and take vacations according to our graduate program guidelines. Consult with your adviser prior to scheduling time off.
- h. *Seeking help.* Your DGS, thesis committee members, and/or GSAS Dean's office are available if you encounter issues that you are unable to resolve with your adviser or for which you need additional assistance. Turn to these individuals as well for mentoring to supplement the guidance offered by your adviser.

Below is additional information for the student and adviser about the roles of the thesis committee and Director of Graduate Studies.

Thesis Committee

A full description of the composition and roles of the committee are described in our handbook. Important responsibilities noted here include the following:

- a. At the student's request, committee members should make themselves available to meet one-on-one to offer research guidance, career advice, and/or assistance in navigating conflicts with the advisor.
- b. Committee members should review the progress report documents provided by the student in advance of each committee meeting.
- c. At the committee meeting, members should provide constructive feedback as well as complete a formal evaluation form.
- d. The committee will determine when all experiments necessary for writing the dissertation have been completed so that the student may commence the writing process.
- e. Committee members should thoroughly review the written dissertation and provide written comments.
- f. Committee members should attend the thesis defense and pre-defense. Exceptions can be made with approval of the DGS.

Director of Graduate Studies

The DGS oversees the overall academic program. Responsibilities related to advising include the following:

- a. The DGS approves the student's course schedules, thesis adviser selection, annual thesis committee forms, qualifying exam form, and departmental recommendation form for degree conferral.
- b. The DGS also tracks overall academic progress and meets with the student to discuss meeting academic milestones. The DGS will meet individually with each student at least once annually to discuss progress toward the student's degree and any topics of concern to the student.
- c. At the student's request, the DGS will meet with the student to help resolve problems with the thesis adviser and/or with thesis committee members and may also provide academic and career guidance.
- d. As appropriate, the DGS will direct the student to other resources such as GSAS deans, Title IX office, Office of Institutional Equity and Access, Student Accessibility Services, and Yale Health.